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2016

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MPRA Paper No. 75998, posted 7 January 2017 08:13 UTC

EXAMINING PUBLIC POLICY IN ROMANIA: IS IT TRANSPARENT AND LEGITIMATED?

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Abstract: *In the last decades, in the context of many economic and financial crisis, the policy-making became the heart of government. In Romania, they represents the main instruments for solving the citizens' problem: health care, education, the environment, and the mechanisms by which public money is budgeted.*

Therefore, this paper analyses the transparency and consultation in policy-making process from the view of different policy-making stages. The paper discusses the different mechanism and procedure designed and applied by Romanian government in policy-making process. Finally, it describes possible links between policy-making capacity and indicators for transparency, starting from Joseph Stiglitz assertion on the adverse effects of secrecy in government policy-making, "with more mistakes, public officials become more defensive; to protect themselves, they seek even more secrecy, narrowing in the circle still further, eroding still further the quality of decision-making".

Keywords: policy-making, public consultation, transparency, financial crisis

1. INTRODUCTION

Over the last years, due to international support (World Bank, European Union), the nature of public policy-making has changed markedly in Romania, but unfortunately still, there is a lack of understanding policy-making and sources of policy advice. Based on legal documents, it can be remarked an increased interest for policy-decision and a consolidation of policy capacity (new principles and instrument start to be used by Romanian government).

Transparency and consultation are two of the principles that guide the policy-making in Romania, at this time, but sometimes the policies are still opaque. Both are key components in promoting good governance and enhancing the overall quality of public administration and public policies. In same papers, transparency has been definite as a "characteristic of those policies that are easily understood, where information about the policy is available, where accountability is clear, and where citizens know what role they play in policy implementation". So, the main questions are: (1) is it enough transparency for a legitimate and good policy-making process? and (2) are the different mechanisms of promoting transparency enough for ensuring an open government which allow citizens to participate in policy-making process?

Strengthening relations with citizens is a sound investment in better policy-making and a core element of good governance.

2. AN OUTLOOK ON THEORETICAL GROUND

The public policy study involves a broad research that need to take into consideration on the one hand the evolution of the conceptual issue and interpretative of the public policy term, and on the other hand the degree of articulation of this public intervention in society [1].

There are quite many definitions for public policy. According to William Jenkins, public policies are "a set of interrelated decisions taken by a political actor or a set of actors, on the selection of the necessary objectives and means to achieve the goals in a specific situation in which those actors should in principle have the power to make these decisions" [2]. James Anderson asserts that "public policy is a deliberate course of action, aimed to achieve a particular purpose, followed by an actor in addressing a problem of general interest" [3]. Therefore, taking into account that through public policies governments aim to solve public problems and to improve the public services, the transparency and consultation are two core elements of governments-citizen relations.

Transparency of public sector, information and the continued involvement of citizens in policy-making is the defining issues for a democratic state. Thus, the legitimacy of public policies devolves from transparency and consultation

with local communities, experts, non-governmental organizations, interest groups and specialists in the field in which the authority will issue a decision. In this sense, it can be outlined that transparency is a driver of perceived legitimacy. Transparency in political decision-making defined as information about decisions and decision-making procedures that is provided or available to the public is generally regarded as a golden tool in policy making [4].

2.1. Government-citizen relation in policy-making

In accordance with The Organisation for Economic Cooperation and Development (OECD) survey, the government-citizen relations cover a broad spectrum of interactions at each stage of the policy-making cycle: from policy design, through implementation to evaluation and are defined below.

Table 1: Types of government-citizen relations

Type	definition	relation
information	a one-way relation in which government produces and delivers information for use by citizens.	Gov → citizens
consultation	a two-way relation in which citizens provide feedback to government.	Gov ↔ citizens
active participation	a relation based on partnership with government, in which citizens actively engage in the policy-making process.	Gov ↔ citizens

Source: OECD survey

For an effective working relationship and in order to engage citizens in policy-making, the Council of Europe and OECD set out the following principles [5]:

- **Commitment:** leadership and strong commitment to information, consultation and active participation in policy-making is needed at all levels – from politicians, senior managers and public officials.
- **Rights:** citizens' rights to access information, provide feedback, be consulted and actively participate in policy-making must be firmly grounded in law or policy.
- **Clarity:** objectives for, and limits to, information, consultation and active participation during policy-making should be well defined from the outset. The respective roles and responsibilities of citizens (in providing input) and government (in making decision for which they are accountable) must be clear to all.
- **Time:** public consultation and active participation should be undertaken as early in the policy process as possible to allow a greater range of policy solutions to emerge and to raise the chance of successful implementation.
- **Objectivity:** Information provided by government during policy-making should be objective, complete and accessible. All citizens should have equal treatment when exercising their rights of access to information and participation.
- **Resources:** adequate financial, human and technical resources are needed if public information, consultation, and active participation in policy-making are to be effective.
- **Co-ordination:** initiatives to inform, request feedback from and consult citizens should be coordinated across government to enhance knowledge management, ensure policy coherence, avoid duplication and reduce the risk of consultation fatigue among citizens and civil society organisations.
- **Accountability:** governments have an obligation to account for the use they make of citizens' inputs received through feedback, public consultation and active participation;
- **Evaluation:** governments need the tools, information and capacity to evaluate their performance in providing information, conducting consultation and active participation.
- **Active citizenship:** governments benefit from active citizens and a dynamic civil society and can take concrete actions to facilitate access to information and participation, raise awareness, strengthen citizens' civic education, and skills as well as to support capacity-building among civil society organisations.

3. RESULTS AND DISCUSSION

This paper expressed an interest in conducting a country study case (Romania) to illustrate concrete instances of consultation and active participation of citizens in policies. Moreover, the quality of public policy depends largely on consultation and coordination activities carried out within public administration institutions and between public institutions and civil society (NGOs, interested organizations, independent research institutions and other alike).

The case study centre on different stage of the policy cycle focusing on public consultation and involvement of citizens in policies in order to emphasise the implementation of transparency principle and the legitimization of policies.

The preparation of the case study was undertaken based on national legal and institutional framework for government-citizen relation and official data from public policy units, available on the their website.

3.1. The legal framework

In Romania, the legal framework for transparency and consultation is represented by Law no. 52/2003 on decisional transparency and law no. 544/2001 on free access to public information. Therefore, the consultation is a fundamental part from policy-making process. The policy proposal must be both an analytical document, as well as a consultation document. During the last ten years, OECD, European Commission and World Bank carried out a number of studies concerning the evaluation of the public policies management system from Romania. Their evaluating reports indicated deficiencies related to the management of decision-making and policy-making process.

In 2001, the Romanian Government has taken the first step in this direction, by launching “The strategy for accelerating the public administration reform” which stipulated the reform of three important areas, including “the improvement of public policy-making process”. Implementation of the reform strategy has taken into consideration the following aspects in the sphere of public policies [6]:

- defining the principles of communication, transparency, efficiency, accountability, participation, consistency, proportionality and subsidiary in the legal text;
- dividing the responsibilities between the authorities with competence in public policy and the ones with financial attributes and supply of public services;
- introducing a simple and clear public policy mechanism in order to develop and implement programs, projects, action plans and legislative proposals;
- separating the policy-making level from the implementation level;
- monitoring and evaluating the policy-making process.

The results of concretization these aspects started in 2003, with the establishing of the Directorate of Public Policy within the General Secretariat of Government accomplishing the following tasks:

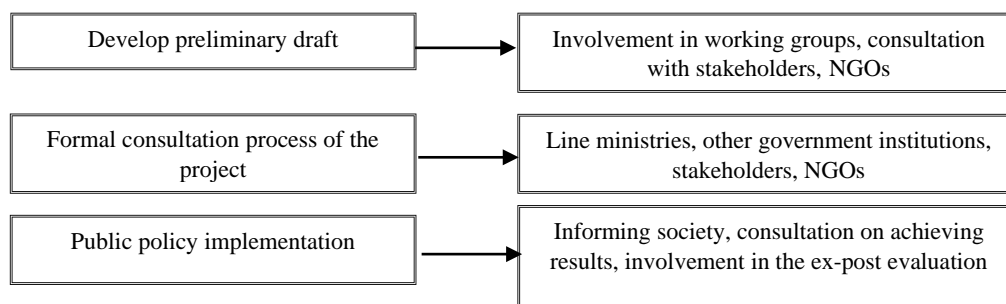
- increasing the efficiency of the public policies;
- increasing the transparency of decision-making process;
- strongly foundation of the policies (for example, the assessment of budgetary, economic, social impact);
- improving the consulting system between the institutions of the central government;
- creating a connection between the planning of public policies and the elaboration of the budget;
- developing the methodologies used in the evaluation and monitoring of the public policies.

3.2. Consultation and transparency in Romanian policy-making

Since the debut of the “Guide for policy making at central level” in 2004 until now, the measures for strengthening the capacity of policy-making process have continuously increased [7].

The literature concludes [6], [7], [8] that, the principles which form the basis of the public policies system are reflected in the following actions: (1) the principle of participation and transparency - the various actors involved in the domestic policy planning; (2) the principle of continuity and coordination - ensure the updating and the coordination with other initiatives; (3) the principle of responsibility - the actors involved in formulating public policies at various levels must take responsibility for the results; (4) the principle of subsidiarity - involving actors which are being located closest to the level regulated through the public policy; (5) the principle of good governance - the government's ability to respond in a timely manner to situations arising from the need of satisfying certain public needs, ensuring the fulfilment of the three E (effectiveness, efficiency and economy of the resources); (6) the principle of cooperation and coherence - the ability of the actors to achieve relations of co-operation and consultation on public policy issues.

The consultation process is carried out through several steps governed by laws that distinguish between the overall consultation and ministerial consultations. Various stages of consultation comply with the stages of public policy development and are graphically as follows:



Consultation in various stages of policy formulation
Source: [9], [8]

The process of agenda setting and formulation of public problems that entering on agenda is one that involves negotiation between different actors, including NGOs. Thus, depending on the problem level, the main actors with decision-making power which are involved in the process of fostering agenda setting are [IPP, 2013 p. 63]:

Table 2. Actors involved in agenda setting

At local level	At national level
local councilors / county	the Parliament' members
mayor	members of the executive (ministries, state'
the president of the county council	secretaries)

Source: [10]

When the consultation and negotiation fails in this stage of policy-making, the civil society can use other methods to influence the agenda namely, media pressure or direct pressure through strikes, public protests etc). The next very important stage in policy-making is the formulation of public policy alternatives (options). This stage of policy cycle is essential for civil society because the policy options set here are crucial to how the problem is solved. If the involvement can not be get in this phase, it can be considered that civil society failed to really influence the decision-making process. The main tool used by public institutions for consulting civil society in the policy-making process is their website. However, we can not talk about a consultation process as long as not all projects are subject to consultation because of tight deadlines on the adoption of some of them, sometimes deliberately exacerbated by civil servants or decision makers.

In December 2015 was established the Ministry for Public consultation and civic dialogue in order to facilitate the application of legal instruments for transparency and public consultation (Law 52/2003 and Law 544/2001). An analysis of using these instruments for engage citizens in policy-making and law-making, at central level revived the following aspects:

<i>Ministry</i>	<i>legislation in public consultation (extras)</i>	<i>Date of publishing</i>	<i>deadline for receiving written proposals</i>	<i>the fundamentation note / reasons expose or report for approve</i>	<i>the mode for sending the proposal</i>	<i>Page for draft laws</i>	<i>Archive</i>
Ministry of Foreign Affairs	Ordinance for. amending and supplementing Law No. 290/2004 on the criminal record	N/A	until January 10, 2016	fundamentation note	written or by e-mail	http://www.mae.ro/node/2011	yes
	Decision approving the Agreement between Romania and the Republic of Indonesia on the abolition of visa requirements for holders of diplomatic and service passports signed in New York on September 24, 2015	N/A	until 31 December 2015	fundamentation note			
Ministry of Interior	Order of the minister of the Interior on the procedure for the payment of the amounts provided by court decisions covering the grant of salary established for staff in public institutions and authorities, which became enforceable in the period 1 January to 31 December 2016	31.12.2015	20 days	report for approve	by email	http://www.mai.gov.ro/index05_1.html	yes
	Decision amending and supplementing Government Decision no. 445/2002 approving the Methodological Norms for the application of Government Emergency Ordinance no. 105/2001 regarding the state border of Romania	24.12.2015	20 days	fundamentation note			
	Law amending and supplementing Law no. 360/2002 on the statute of police	23.12.2015	20 days	Reasons expose			
Ministry of Agriculture and Rural Development	ORDER repeal the Minister of Agriculture, Forests, Water and Environment no. 1044/2003 concerning the authorization of primary processing units strains of flax and hemp fiber	30.12.2015	10 days after publishing	-	by email	http://www.madr.ro/proiecte-de-acte-normative.html	yes
	Ordinance for the Government Emergency Ordinance no. 34/2000 on organic foods.	28.12.2015	10 days after publishing	-			
	DECISION on modification of identification data, update inventory values and changing managers immovable property or part thereof, under the state's public administration and units under the Ministry of Agriculture and Rural Development.	16.12.2015	10 days after publishing	-			
Ministry of National Defence	Government Decision approving the Agreement on classified information of defense between the Ministry of National Defense of Romania and the Ministry of National Defence of the People's from Democratic Republic of Algeria, signed in Algiers on December 7, 2015	13.01.2016	10 days after publishing	fundamentation note	by email	http://dlaj.mapn.ro/	no
	Law ratifying the Agreement on defense cooperation between Romania and the Republic of Algeria signed in Algiers on December 7, 2015	13.01.2016	10 days after publishing	Reasons expose			
	Government decision on the organization in Romania of the Meeting of Political Directors of the Ministries of Defence of NATO member countries	13.01.2016	10 days after publishing	fundamentation note			

Ministry of Communication and Information Society	Order establishing the tariff for using SEAP	-	10 days after publishing	fundamentation note	by email	http://www.mcsi.ro/Transparenta-decizionala	yes
	Ordinance amending Ordinance no. 49/2009 on freedom of establishment for service providers and freedom to provide services in Romania	-	10 days after publishing	fundamentation note			
	Draft order on framing in creative activity computer programs	-	10 days after publishing	-			
Ministry of Culture	Government decision on amending and supplementing Government Decision no. 227/2000 approving the lease of immovable property, public property under the administration of public cultural institutions	05.01.2016	N/A	fundamentation note	written or by e-mail	http://www.cultura.ro/page/407	yes
	Ordinance amending Government Emergency Ordinance no. 189/2008 regarding the management of public cultural institutions, as amended and supplemented	31.12.2015-13.01.2016	N/A	fundamentation note			
	Ordinance amending Law no. 422/2001 on the protection of historical monuments, republished, as amended	31.12.2015	N/A	fundamentation note			
Ministry of Regional Development and Public Administration	DRAFT DECISION on the appointment of the Commission for conducting research prior to the declaration of public utility for works of national interest works investment in 2940 barracks Baban common Baban Arges County.	14.01.2016	10 days after publishing	fundamentation note	by email	http://www.mdrap.ro/transparenta/consultari-publice	only for 2015
	Government Ordinance amending and supplementing Law no. 372/2005 on the energy performance of buildings.	08.01.2016	10 days after publishing	fundamentation note			
	Government Ordinance amending and supplementing Law no.184 / 2001 on the organization and the profession of architect.	07.01.2016	10 days after publishing	fundamentation note			
Ministry of Economy, Trade and the Business Environment	Ordinance amending and supplementing Law no. 449/2003 regarding the sale of goods and associated guarantees	08.01.2016	10 days after publishing	fundamentation note	by email	http://www.minind.ro/Transparenta-decizionala	yes
	Government decision on the transition from the public domain into the private domain of the state of fixed assets leased National Company for Power Grid "Transelectrica" - SA, Bucharest Transport Subsidiary, Local Branch Constanta, Sibiu Branch and Branch transport Timisoara	02.11.2015	10 days after publishing	fundamentation note			
	Order of the minister of economy, trade and tourism approving minimis aid schemes for the shares of Export Promotion Programme in the period 1 January to 31 December 2016	07.10.2015	10 days after publishing	-			
Ministry of National	DRAFT Government Decision on the organizational structure of the Ministry of National Education and Scientific Research	14.01.2016	N/A	fundamentation note	written or by e-mail		yes

Education and scientific Research	PROJECT Government Decision approving the Methodological Norms for evaluation for certification institutions and units of the national R & D	12.01.2016	N/A	fundamentation note		http://www.edu.ro/index.php/articles/c402	
	DRAFT ORDER approving Framework Methodology organizing study cycles admission to undergraduate, master's and doctoral academic year 2016 - 2017	06.01.2016	N/A	N/A			
Ministry of Energy	Romania's progress report on the promotion and use of renewable energy in accordance with ar. 22 of Directive 2009/28/EC	08.01.2016	N/A	N/A	N/A	http://energie.gov.ro	yes
	The draft Government Decision on the organization and functioning of the Ministry of Energy	07.12.2015	N/A	N/A	N/A		
	Government decision on the approval of energy in winter to ensure safe operation and stability of the National Power System	04.12.2015	N/A	N/A	N/A		
Ministry of Public Finance	Draft Order regarding fund allocation for the annual prize Lottery draws monthly tax receipts for the year 2016 and to organize occasional Lottery drawings tax bills	14.01.2016	N/A	report for approve	by email	http://www.mfinante.gov.ro/transparency.html?method=transparenta&pagina=acasa&locale=ro	yes
	Draft Decision on Norms for the application of Government Emergency Ordinance no.66 / 2014 on the approval of stimulating the purchase of new cars, as amended and supplemented, approved by Government Decision nr.1.053 / 2014	12.01.2016	N/A	fundamentation note			
	Draft Law on establishing certain measures for implementing Regulation (EU) No.648 / 2012 on OTC derivatives, central counterparties and trade repositories	06.01.2016	N/A	Reasons expose			
Ministry of European Funds	A draft law amending and supplementing Law No. 490/2004 on granting financial incentives to staff managing EU funds	08.01.2016 - 07.02.2016	10 days after publishing	-	by email	http://www.fonduri-ue.ro/proiecte-legislative	yes
	Draft Decision approving the Methodological Norms for the application of Government Emergency Ordinance no. 40/2015 on the financial management of European funds for 2014-2020	06.01.2016 - 31.01.2016	10 days after publishing	fundamentation note			
	Draft DECISION for the amendment and supplementation of Government Decision No.678 of Article 2/2015	18.11.2015 - 02.12.2015	10 days after publishing	fundamentation note			
Ministry of Justice	The draft Government Decision on the transmission of part of the building "Palace of Parliament" public property, the administration of the Ministry of Justice in the administration of the Ministry of European Funds	08.01.2016	N/A	fundamentation note	written or by e-mail	http://www.just.ro/transparency-decisional/acte-normative/proiecte-in-dezbatare/	yes
	The draft Government Decision on updating identification data and inventory value of an asset in the public domain and the administration of the National Integrity Agency.	08.01.2016	N/A	fundamentation note			

	The draft order to amend and supplement the Rules of organization and functioning of the Directorate for Investigating Organized Crime and Terrorism, approved by Minister of Justice no. 1226 / C / 2009	05.01.2016	N/A	report for approve			
Ministry of Environment and Forests	MMAPI publicly debate draft OM approving the List of Romanian standards that adopt harmonized European standards referred to in art. 6 para. (1) a) of Law no. 249/2015 on the management of packaging and packaging waste	14.01.2016	10 days after publishing	fundamentation note	by email	http://www.mmmediu.ro/categorie/proiecte-de-acte-normative/41	yes
	MMAPI publicly debate Management Plan and Natura 2000 site rules ROSPA0059 Lake Strachina	14.01.2016	10 days after publishing	-	by email		
	MMAPI publicly debate Draft GD for registration of real estate in the centralized inventory of goods from the public domain and the administration by the National Administration "Romanian Waters" public institution coordinated by the Ministry of Environment, Water and Forests, the Basin Administration of Water Siret	13.01.2016	10 days after publishing	fundamentation note	by email		
Ministry of Labor, Family and Social Protection	Decision approving the Methodological Norms of Law no. 248/2015 on stimulating participation in preschool education of children from disadvantaged families and the procedure for granting social vouchers for kindergarten	05.01.2016-14.01.2016	10 days after publishing	fundamentation note	by email	http://www.mmuncii.ro/j33/index.php/ro/transparenta/proiecte-in-dezbatare	yes
	Decision on granting benefits in the form of tickets spa treatment for 2016 through the organized and administered by the National Public Pensions	31.12.2015 - 11.02.2016	10 days after publishing	fundamentation note	by email		
	Government Ordinance approving the payment of the annual fee for Romania's participation as a member affiliated to the Social Security International Association (ISSA) and for completing Schedule. 1 to Government Ordinance no. 41/1994 on authorizing payment of contributions to international intergovernmental organizations to which Romania is a party	14.12.2015-26.01.2016	N/A	N/A	N/A		
Ministry of Health	Government decision on updating the Annex. 15 Government Decision no.1705 / 2006 for the approval of the centralized inventory of goods from the public domain.	07-01-2016-17-02-2016	10 days after publishing	fundamentation note	by email	http://www.ms.ro/?pag=22	yes
	Law establishing the conditions for manufacture, presentation and sale of tobacco products and related products	29.12.2015-09.02.2016	10 days after publishing	Reasons expose			
	Order to Addendum no. 1 Order no. 810/2015 for the approval of the maximum prices of drugs used / sold by care providers or drugs under contract with the Ministry of Health, health insurance funds and / or county public health departments and Bucharest, included in the National Catalogue the prices of medicinal products authorized for marketing in Romania and their generic reference prices	29.12.2015-09.02.2016	10 days after publishing	report for approve			
	HG draft amending article 38 of the GD. 1447	07.12.2015	N/A	fundamentation note			no

Ministry of Youth and Sports	decision on an exchange of property between the Romanian state through the Ministry of Youth and Sports - Sports Club "Pandurii Targu Jiu" Targu Jiu Municipality and the Targu Jiu City Council	15.10.2015	N/A	fundamentation note	written or by e-mail	http://mts.ro/proiecte-legislative-in-dezbatare-publica/	
Ministry of Transportation	Draft order of the Minister of Transport for aerodrome categorization civil certified in Romania and the approval of the civil aviation regulation Romanian on the authorization of aerodromes civil - RACR-AD-AADC and civil aviation regulation Romanian on land use and registration of aerodromes civil - RACR-AD-IADC	13.01.2016	24-01-16	tool for motivation	written or by e-mail	http://mt.gov.ro/web14/transparenta-decizionala/consultare-publica/acte-normative-in-avizare	yes
	The draft Government Decision on approval of the income and expenditure for 2016 of the National Vessel Radio "Radionav" S.A. under the Ministry of Transportation	13.01.2016	24-01-16	tool for motivation			
	The draft Government Ordinance on the railway system in Romania integration in the single European railway area	06.01.2016	10-01-16	tool for motivation			
Department for Relations with the Parliament	draft law enabling the Government to issue ordinances	23.10.2015	N/A	Reasons expose	written or by e-mail	http://www.drpgov.ro/index.php?prezentare_proiecte	yes
	draft law enabling the Government to issue ordinances	23.04.2015	N/A	Reasons expose			
Policy Department for Relations with Romanians Abroad	The draft law on amending and supplementing Law no. 321/2006	N/A	until November 3, 2015	fundamentation note	written or by e-mail	http://www.dprp.gov.ro/documente-in-consultare-publica/	no
	The draft methodology for organizing the Congress delegates election Romanians from Everywhere for application of article 8 of Law 299/2007 republished.	N/A	until August 8, 2015	Methodology Project			
General Secretariat of Government	Ordinance for the establishment of reorganization measures at central government level and amending Law no. 226/2009 of the organization and functioning of official statistics in Romania	08.01.2016	N/A	fundamentation note	N/A	http://www.sgg.ro/legislativ/domeniul.php?id=42	yes
	Decision on setting the maximum ceiling for accommodation expenses on buildings used as home service and used as home buildings, proposed for sale, from housing administered by the "Administration of State Protocol Patrimony" for 2016	07.12.2015	N/A	N/A	N/A		
	Decision on approval of tariffs for the publication of acts in the Official Gazette of Romania, Part I-VII	02.12.2015	N/A	N/A	N/A		

Source: the author based on Ministry of Public Consultation and civic dialogue' analysis [11]

The data show that in general the Draft of Government Decision is the most commonly normative act which is subject for public consultation. The ordinance and ministries order are only sometimes under public consultation. The public policy are not subject for public consultation for the period analysed. Most ministries receive proposals for the amendment from citizens within 10 days from the publication (publication not always specified, which may discourage civil society to submit comments as not knowing whether or not it is within). Notes also that not all ministries contain an archive of the draft legislation. There is no standardization instead of showing information on the websites of ministries, they necessarily are not in the section called “Transparency decision”.

CONCLUSIONS

Transparency and public participation will not only inevitably help to achieve democratic goals, but they can also help produce better, more informed policy decisions. Increased participation allows policy actors to obtain information that may help them better understand how current policies could be improved and also how the public or regulated parties would respond to a change in policy.

ACKNOWLEDGMENTS

This paper was possible with the financial support of the “Interdisciplinary comparative analysis on the impact of crisis measures in some Member States of the European Union: present and future”, (AIC- IMAC-UE5) – Exploratory Research project financed by the National University of Political Studies and Public Administration.

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